

General information about company

Scrip code	502865
NSE Symbol	
MSEI Symbol	
ISIN	INE518A01013
Name of the company	Forbes & Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	11.61
As on the end of previous 1st quarter	100	11.59
As on the end of previous 2nd quarter	100	11.61
As on the end of previous 3rd quarter	100	11.63
As on the end of previous 4th quarter	100	11.6

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	9525691			9525691	73.85	9525691		9525691	73.85
(B)	Public	14817	3372925			3372925	26.15	3372925		3372925	26.15
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	14819	12898616			12898616	100	12898616		12898616	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				73.85			9359293	98.25	9525691			
(B)	Public				26.15					3109019	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100			9359293	72.56	12634710	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	2	9525691			9525691	73.85	9525691		9525691	73.85
Sub-Total (A)(1)		2	9525691			9525691	73.85	9525691		9525691	73.85
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	9525691			9525691	73.85	9525691		9525691	73.85
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	1	142			142	0	142		142	0
(d)	Banks	13	12990			12990	0.1	12990		12990	0.1
Sub-Total (B)(1)		14	13132			13132	0.1	13132		13132	0.1
(2)	Institutions (Foreign)										
(e)	Foreign Portfolio Investors Category II	7	1485361			1485361	11.52	1485361		1485361	11.52
Sub-Total (B)(2)		7	1485361			1485361	11.52	1485361		1485361	11.52
(3)	Central Government / State Government(s)										
(b)	State Government / Governor	2	110343			110343	0.86	110343		110343	0.86
Sub-Total (B)(3)		2	110343			110343	0.86	110343		110343	0.86
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	1	1532			1532	0.01	1532		1532	0.01
(c)	Key Managerial Personnel	1	1			1	0	1		1	0
(f)	Investor Education and Protection Fund (IEPF)	1	163448			163448	1.27	163448		163448	1.27
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	14139	1124174			1124174	8.72	1124174		1124174	8.72
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	3	159349			159349	1.24	159349		159349	1.24
(i)	Non Resident Indians (NRIs)	165	29328			29328	0.23	29328		29328	0.23
(j)	Foreign Nationals	1	770			770	0.01	770		770	0.01
(l)	Bodies Corporate	94	167173			167173	1.3	167173		167173	1.3
(m)	Any Other (specify)	389	118314			118314	0.92	118314		118314	0.92
Sub-Total (B)(4)		14794	1764089			1764089	13.68	1764089		1764089	13.68
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		14817	3372925			3372925	26.15	3372925		3372925	26.15
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		14819	12898616			12898616	100	12898616		12898616	100

Total (A+B+C)		14819	12898616			12898616	100		12898616		12898616	100
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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				73.85			9359293	98.25	9525691			
Sub-Total (A)(1)				73.85			9359293	98.25	9525691			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				73.85			9359293	98.25	9525691			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0					142	0	0	0
(d)				0.1					11757	0	0	0
Sub-Total (B)(1)				0.1					11899	0	0	0
(2)	Institutions (Foreign)											
(e)				11.52					1485361	0	0	0
Sub-Total (B)(2)				11.52					1485361	0	0	0
(3)	Central Government / State Government(s)											
(b)				0.86					30363	0	0	0
Sub-Total (B)(3)				0.86					30363	0	0	0
(4)	Non-institutions											
(b)				0.01					1532	0	0	0
(c)				0					1	0	0	0
(f)				1.27					163448	0	0	0
(g)				8.72					946806	0	0	0
(h)				1.24					159349	0	0	0
(i)				0.23					27764	0	0	0
(j)				0.01					770	0	0	0
(l)				1.3					163412	0	0	0
(m)				0.92					118314	0	0	0
Sub-Total (B)(4)				13.68					1581396	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				26.15					3109019	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					12634710			

Total (A+B+C)			100			9359293	72.56	12634710
Disclosure of notes on shareholding pattern							Textual Information(1)	

Text Block

Textual Information()

The Ministry of Corporate Affairs ("MCA") vide Notification dt. June 14, 2018 notified the Companies (Significant Beneficial Owners) Rules, 2018 (amended by Companies (Significant Beneficial Owners) Amendment Rules, 2019) (hereinafter referred to as "SBO Rules") under which various requirements pertaining to disclosures regarding Significant Beneficial Owners have been specified.

To align with the Companies Act, 2013, the Securities and Exchange Board of India vide notification dated December 7, 2018, prescribed format for reporting of details of Significant Beneficial Owners in the shareholding pattern.

Pursuant to SBO Rules, the Holding Reporting Company which receives BEN-1 from the Significant Beneficial Owners directly is required to file BEN-2 and subsidiary company has to file BEN-2 providing Corporate Identification Number (CIN) of the Holding Reporting Company. It is pertinent to note that the Subsidiary Company is not required to receive BEN-1 directly from Significant Beneficial Owners.

In view of the above, Forbes & Company Limited being a subsidiary company of Shapoorji Pallonji and Company Private Limited is not required to receive BEN-1 and is required to file BEN-2 providing CIN of Shapoorji Pallonji and Company Private Limited, Holding Reporting Company.

The details in Shareholding Pattern pertaining to the Significant Beneficial Interest to be filed with BSE Limited under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 can be filed only if BEN-1 is received directly by the Company.

Please note that it is pertinent to note that Forbes & Company Limited, being a listed company is not a wholly owned subsidiary of the Holding Reporting Company and neither the SBO Rules nor SEBI Regulations provide for disclosing proportional holding on the basis of BEN-1 received by the Reporting Holding Company.

As Forbes & Company Limited is required to file BEN-2 with the CIN of Reporting Holding Company and in the absence of receipt of BEN-1 directly, in our bonafide interpretation, the requirement of reporting of Significant Beneficial Interest is not applicable to Forbes & Company Limited under Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Any Other (specify)

Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Shapoorji Pallonji and Company Private Limited	Forbes Campbell Finance Limited	
PAN (II)	AAACS6994C	AAACL0532H	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	9359293	166398	9525691
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9359293	166398	9525691
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	72.56	1.29	73.85
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	9359293	166398	9525691
Class eg:y			
Total	9359293	166398	9525691
Total as a % of Total Voting rights	72.56	1.29	73.85
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	72.56	1.29	73.85
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	9359293	0	9359293
As a % of total Shares held (b)	100	0	98.25

Number of equity shares held in dematerialized form (XIV)	9359293	166398	9525691
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Foreign Portfolio Category II			
Serial No.	1	2	
Name of the Shareholders (I)	India Discovery Fund Limited	Antara India Evergreem Fund Limited	Click here to go back
PAN (II)	AABCI7227H	AABCI6920P	Total
No. of fully paid up equity shares held (IV)	1148255	332891	1481146
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1148255	332891	1481146
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.9	2.58	11.48
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1148255	332891	1481146
Class eg:y			
Total	1148255	332891	1481146
Total as a % of Total Voting rights	8.9	2.58	11.48
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.9	2.58	11.48
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1148255	332891	1481146
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0

Sub-category (iii)	0	0	0
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Any Other (specify)

Serial No.	1	2	3	4	
Category	Trusts	LLP	HUF	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	3	4	348	34	389
No. of fully paid up equity shares held (IV)	652	3751	96966	16945	118314
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	652	3751	96966	16945	118314
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.03	0.75	0.13	0.92
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	652	3751	96966	16945	118314
Class eg:y					
Total	652	3751	96966	16945	118314
Total as a % of Total Voting rights	0.01	0.03	0.75	0.13	0.92
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0.03	0.75	0.13	0.92
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	652	3751	96966	16945	118314

Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0