

**General information about company**

Scrip code	502865
NSE Symbol	
MSEI Symbol	
ISIN	INE518A01013
Name of the company	Forbes & Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	9525691			9525691	73.85	9525691		9525691	73.85
(B)	Public	11969	3372925			3372925	26.15	3372925		3372925	26.15
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11971	12898616			12898616	100	12898616		12898616	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.85			9359293	98.25	9525691
(B)	Public				26.15					3094751
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			9359293	72.56	12620442



(a(ii))	holding nominal share capital in excess of Rs. 2 lakhs.	4	169349			169349	1.31	169349		169349	1.31
(b)	NBFCs registered with RBI	1	225			225	0	225		225	0
(e)	Any Other (specify)	750	499382			499382	3.87	499382		499382	3.87
Sub-Total (B)(3)		11944	1760857			1760857	13.65	1760857		1760857	13.65
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11969	3372925			3372925	26.15	3372925		3372925	26.15
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		11971	12898616			12898616	100	12898616		12898616	100
Total (A+B+C)		11971	12898616			12898616	100	12898616		12898616	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				73.85			9359293	98.25	9525691
Sub-Total (A)(1)				73.85			9359293	98.25	9525691
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				73.85			9359293	98.25	9525691
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					142
(c)				0.02					2893
(e)				11.52					1485700
(f)				0.1					11757
Sub-Total (B) (1)				11.64					1500492
( 2 )				0.86					30363
Sub-Total (B) (2)				0.86					30363
( 3 )	Non-institutions								
(a(i))				8.47					901003
(a(ii))				1.31					169349
(b)				0					225
(e)				3.87					493319
Sub-Total (B) (3)				13.65					1563896
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				26.15					3094751
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					12620442
Total (A+B+C )				100			9359293	72.56	12620442





### Text Block

Textual Information()

The Ministry of Corporate Affairs ("MCA") vide Notification dt. June 14, 2018 notified the Companies (Significant Beneficial Owners) Rules, 2018 (amended by Companies (Significant Beneficial Owners) Amendment Rules, 2019) (hereinafter referred to as "SBO Rules") under which various requirements pertaining to disclosures regarding Significant Beneficial Owners have been specified. To align with the Companies Act, 2013, the Securities and Exchange Board of India vide notification dated December 7, 2018, prescribed format for reporting of details of Significant Beneficial Owners in the shareholding pattern. Pursuant to SBO Rules, the Holding Reporting Company which receives BEN-1 from the Significant Beneficial Owners directly is required to file BEN-2 and subsidiary company has to file BEN-2 providing Corporate Identification Number (CIN) of the Holding Reporting Company. It is pertinent to note that the Subsidiary Company is not required to receive BEN-1 directly from Significant Beneficial Owners. In view of the above, Forbes & Company Limited being a subsidiary company of Shapoorji Pallonji and Company Private Limited is not required to receive BEN-1 and is required to file BEN-2 providing CIN of Shapoorji Pallonji and Company Private Limited, Holding Reporting Company. The details in Shareholding Pattern pertaining to the Significant Beneficial Interest to be filed with BSE Limited under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 can be filed only if BEN-1 is received directly by the Company. Please note that it is pertinent to note that Forbes & Company Limited, being a listed company is not a wholly owned subsidiary of the Holding Reporting Company and neither the SBO Rules nor SEBI Regulations provide for disclosing proportional holding on the basis of BEN-1 received by the Reporting Holding Company. As Forbes & Company Limited is required to file BEN-2 with the CIN of Reporting Holding Company and in the absence of receipt of BEN-1 directly, in our bonafide interpretation, the requirement of reporting of Significant Beneficial Interest is not applicable to Forbes & Company Limited under Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**Any Other (specify)**

Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SHAPOORJI PALLONJI AND COMPANY PRIVATE LIMITED	FORBES CAMPBELL FINANCE LIMITED	
PAN (II)	AAACS6994C	AAACL0532H	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	9359293	166398	9525691
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9359293	166398	9525691
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	72.56	1.29	73.85
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	9359293	166398	9525691
Class eg:y			
Total	9359293	166398	9525691
Total as a % of Total Voting rights	72.56	1.29	73.85
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a			

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	72.56	1.29	73.85
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	9359293	0	9359293
As a % of total Shares held (b)	100	0	98.25
Number of equity shares held in dematerialized form (XIV)	9359293	166398	9525691
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

### Foreign Portfolio Investors

Serial No.	1	2	
Name of the Shareholders (I)	India Discovery Fund Limited	Antara India Evergreen Fund Limited	<a href="#">Click here to go back</a>
PAN (II)	AABCI7227H	AABCI6920P	Total
No. of fully paid up equity shares held (IV)	1148255	332891	1481146
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1148255	332891	1481146
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.9	2.58	11.48
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	1148255	332891	1481146
Class eg:y			
Total	1148255	332891	1481146
Total as a % of Total Voting rights	8.9	2.58	11.48
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.9	2.58	11.48
<b>Number of Locked in shares (XII)</b>			

No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1148255	332891	1481146
Reason for not providing PAN			
Reason for not providing PAN			

**Any Other (specify)**

Serial No.	1	2	3	4	5	6	7
Category	IEPF	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	LLP	Clearing Members
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)	Investor Education and Protection Fund Authority Ministry of Corporate Affairs						
PAN (II)	ZZZZZ9999Z						
No. of the Shareholders (I)	1	3	1	393	137	15	35
No. of fully paid up equity shares held (IV)	163595	652	770	90127	24964	16278	9424
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	163595	652	770	90127	24964	16278	9424
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	0.01	0.01	0.7	0.19	0.13	0.07
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	163595	652	770	90127	24964	16278	9424
Class eg:y							
Total	163595	652	770	90127	24964	16278	9424
Total as a % of Total Voting rights	1.27	0.01	0.01	0.7	0.19	0.13	0.07
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of							

convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.27	0.01	0.01	0.7	0.19	0.13	0.07
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	163595	652	770	90127	23140	16278	9424
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)						

**Any Other (specify)**

Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	165	749
No. of fully paid up equity shares held (IV)	193572	335787
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	193572	335787
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.5	2.61
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	193572	335787
Class eg:y		
Total	193572	335787
Total as a % of Total Voting rights	1.5	2.61
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a		



percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.5	2.61
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	189333	329724
Reason for not providing PAN		
Reason for not providing PAN		

**Text Block**

Textual Information(1)

PAN Exempt