

General information about company

Scrip code	502865
NSE Symbol	
MSEI Symbol	
ISIN	INE518A01013
Name of the company	Forbes & Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	9525691			9525691	73.85	9525691		9525691	73.85
(B)	Public	11751	3372925			3372925	26.15	3372925		3372925	26.15
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	11753	12898616			12898616	100	12898616		12898616	100

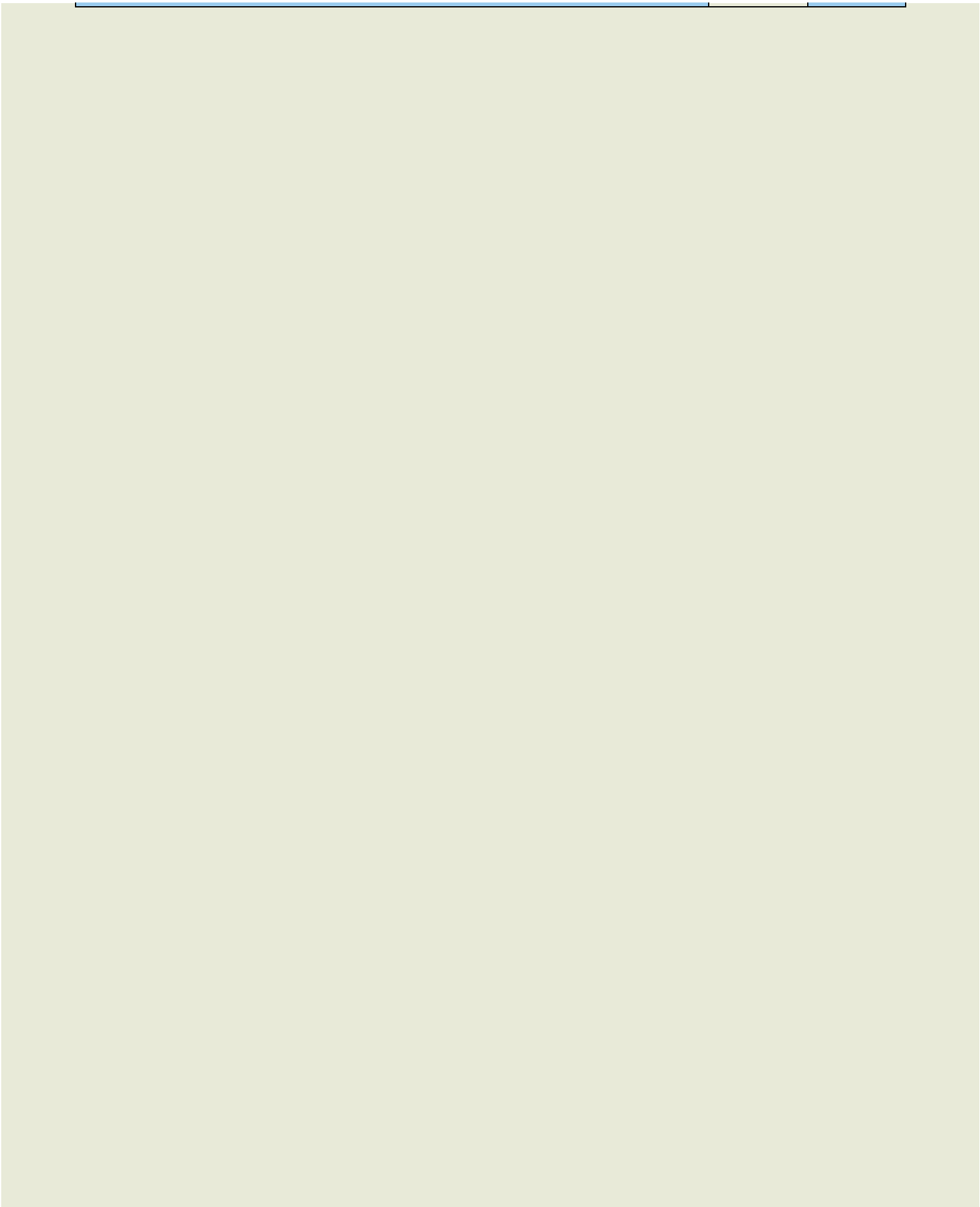
Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.85			9359293	98.25	9525691
(B)	Public				26.15					3091951
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			9359293	72.56	12617642

(a(ii))	holding nominal share capital in excess of Rs. 2 lakhs.	4	171033			171033	1.33	171033		171033	1.33
(e)	Any Other (specify)	713	483184			483184	3.75	483184		483184	3.75
Sub-Total (B)(3)		11732	1765411			1765411	13.69	1765411		1765411	13.69
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		11751	3372925			3372925	26.15	3372925		3372925	26.15
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		11753	12898616			12898616	100	12898616		12898616	100
Total (A+B+C)		11753	12898616			12898616	100	12898616		12898616	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				73.85			9359293	98.25	9525691
Sub-Total (A)(1)				73.85			9359293	98.25	9525691
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				73.85			9359293	98.25	9525691
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					142
(c)				0.02					2893
(e)				11.48					1481146
(f)				0.1					11757
Sub-Total (B)(1)				11.61					1495938
(2)				0.86					30363
Sub-Total (B)(2)				0.86					30363
(3)	Non-institutions								
(a(i))				8.61					917496
(a(ii))				1.33					171033
(e)				3.75					477121
Sub-Total (B)(3)				13.69					1565650
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				26.15					3091951
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					12617642
Total (A+B+C)				100			9359293	72.56	12617642
Disclosure of notes on shareholding pattern								Textual Information(1)	



Text Block

Textual Information()

The Ministry of Corporate Affairs ("MCA") vide Notification dt. June 14, 2018 notified the Companies (Significant Beneficial Owners) Rules, 2018 (amended by Companies (Significant Beneficial Owners) Amendment Rules, 2019) (hereinafter referred to as "SBO Rules") under which various requirements pertaining to disclosures regarding Significant Beneficial Owners have been specified. To align with the Companies Act, 2013, the Securities and Exchange Board of India vide notification dated December 7, 2018, prescribed format for reporting of details of Significant Beneficial Owners in the shareholding pattern. Pursuant to SBO Rules, the Holding Reporting Company which receives BEN-1 from the Significant Beneficial Owners directly is required to file BEN-2 and subsidiary company has to file BEN-2 providing Corporate Identification Number (CIN) of the Holding Reporting Company. It is pertinent to note that the Subsidiary Company is not required to receive BEN-1 directly from Significant Beneficial Owners. In view of the above, Forbes & Company Limited being a subsidiary company of Shapoorji Pallonji and Company Private Limited is not required to receive BEN-1 and is required to file BEN-2 providing CIN of Shapoorji Pallonji and Company Private Limited, Holding Reporting Company. The details in Shareholding Pattern pertaining to the Significant Beneficial Interest to be filed with BSE Limited under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 can be filed only if BEN-1 is received directly by the Company. Please note that it is pertinent to note that Forbes & Company Limited, being a listed company is not a wholly owned subsidiary of the Holding Reporting Company and neither the SBO Rules nor SEBI Regulations provide for disclosing proportional holding on the basis of BEN-1 received by the Reporting Holding Company. As Forbes & Company Limited is required to file BEN-2 with the CIN of Reporting Holding Company and in the absence of receipt of BEN-1 directly, in our bonafide interpretation, the requirement of reporting of Significant Beneficial Interest is not applicable to Forbes & Company Limited under Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Any Other (specify)

Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Shapoorji Pallonji and Company Private Limited	Forbes Campbell Finance Limited	
PAN (II)	AAACS6994C	AAACL0532H	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	9359293	166398	9525691
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9359293	166398	9525691
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	72.56	1.29	73.85
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	9359293	166398	9525691
Class eg:y			
Total	9359293	166398	9525691
Total as a % of Total Voting rights	72.56	1.29	73.85
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	72.56	1.29	73.85

diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)			
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	9359293	0	9359293
As a % of total Shares held (b)	100	0	98.25
Number of equity shares held in dematerialized form (XIV)	9359293	166398	9525691
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

Foreign Portfolio Investors

Foreign Portfolio Investors			
Serial No.	1	2	
Name of the Shareholders (I)	India Discovery Fund Limited	Antara India Evergreen Fund Ltd	Click here to go back
PAN (II)	AABCI7227H	AABCI6920P	Total
No. of fully paid up equity shares held (IV)	1148255	332891	1481146
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1148255	332891	1481146
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.9	2.58	11.48
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1148255	332891	1481146
Class eg:y			
Total	1148255	332891	1481146
Total as a % of Total Voting rights	8.9	2.58	11.48
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.9	2.58	11.48
Number of Locked in shares (XII)			

No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1148255	332891	1481146
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	LLP	Non-Resident Indian (NRI)	HUF	Foreign Nationals	Trusts
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	104	78	9	126	390	1	4
No. of fully paid up equity shares held (IV)	165556	12214	21754	26472	89421	770	3402
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	165556	12214	21754	26472	89421	770	3402
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.28	0.09	0.17	0.21	0.69	0.01	0.03
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	165556	12214	21754	26472	89421	770	3402
Class eg:y							
Total	165556	12214	21754	26472	89421	770	3402
Total as a % of Total Voting rights	1.28	0.09	0.17	0.21	0.69	0.01	0.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible							

securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.28	0.09	0.17	0.21	0.69	0.01	0.03
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	161317	12214	21754	24648	89421	770	3402
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	
Category	IEPF	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Investor Education and Protection Fund Authority	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	712
No. of fully paid up equity shares held (IV)	163595	319589
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	163595	319589
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	2.48
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	163595	319589
Class eg:y		
Total	163595	319589
Total as a % of Total Voting rights	1.27	2.48
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a		

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.27	2.48
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	163595	313526
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

Text Block

Textual Information(1)

PAN Exempt

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence